

## RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 10/766,307  
Source: 2/6/04 FW0 -  
Date Processed by STIC: 2/6/04 -

**THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.**

**PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:**

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

**FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 703-308-4212; FAX: 703-308-4221**

**Effective 12/13/03: TELEPHONE: 571-272-2510; FAX: 571-273-0221**

**TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 4.1 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:**

**<http://www.uspto.gov/web/offices/pac/checker/chkr41note.htm>**

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

1. EFS-Bio (<<http://www.uspto.gov/ebs/efs/downloads/documents.htm>> , EFS Submission User Manual - ePAVE)
2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
3. Hand Carry directly to (EFFECTIVE 12/01/03):  
U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202
4. Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office, Box Sequence, Room 4B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Revised 10/08/03

## Raw Sequence Listing Error Summary

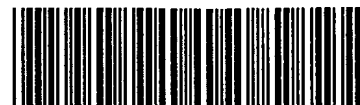
### ERROR DETECTED

### SUGGESTED CORRECTION

SERIAL NUMBER: 101766307

ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE

- 1        Wrapped Nucleics  
    Wrapped Aminos     The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor **after** creating it. Please adjust your right margin to .3; this will prevent "wrapping."
  
- 2        Invalid Line Length     The rules require that a line **not exceed** 72 characters in length. This includes white spaces.
  
- 3        Misaligned Amino  
    Numbering     The numbering under each 5<sup>th</sup> amino acid is misaligned. Do **not** use tab codes between numbers; use **space characters**, instead.
  
- 4        Non-ASCII     The submitted file was **not** saved in ASCII(DOS) text, as **required** by the Sequence Rules. **Please ensure your subsequent submission is saved in ASCII text.**
  
- 5        Variable Length     Sequence(s)        contain n's or Xaa's representing more than one residue. **Per Sequence Rules, each n or Xaa can only represent a single residue.** Please present the **maximum** number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.
  
- 6        PatentIn 2.0  
    "bug"     A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s)       . Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. **This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.**
  
- 7        Skipped Sequences  
    (OLD RULES)     Sequence(s)        missing. If intentional, please insert the following lines for **each** skipped sequence:  
                               (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  
                               (i)       SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading)  
                               (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  
                               This sequence is intentionally skipped  
  
                               Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to **include** the skipped sequences.
  
- 8        Skipped Sequences  
    (NEW RULES)     Sequence(s)        missing. If **intentional**, please insert the following lines for **each** skipped sequence.  
                               <210> sequence id number  
                               <400> sequence id number  
                               000
  
- 9        Use of n's or Xaa's  
    (NEW RULES)     Use of n's and/or Xaa's have been detected in the Sequence Listing.  
                               Per 1.823 of Sequence Rules, use of <220>-<223> is **MANDATORY** if n's or Xaa's are present.  
                               In <220> to <223> section, please explain location of **n** or **Xaa**, and which residue **n** or **Xaa** represents.
  
- 10        Invalid <213>  
    Response     Per 1.823 of Sequence Rules, the only **valid** <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is **required** when <213> response is Unknown or is Artificial Sequence
  
- 11        Use of <220>     Sequence(s)        missing the <220> "Feature" and associated numeric identifiers and responses.  
                               Use of <220> to <223> is **MANDATORY** if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section.  
                               (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)
  
- 12        PatentIn 2.0  
    "bug"     Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.
  
- 13        Misuse of n/Xaa     "**n**" can **only** represent a single nucleotide; "**Xaa**" can **only** represent a single amino acid



IFWO

## RAW SEQUENCE LISTING

DATE: 02/06/2004

PATENT APPLICATION: US/10/766,307

TIME: 16:17:09

Input Set : D:\SeqID.ST25a.txt

Output Set: N:\CRF4\02062004\J766307.raw

3 <110> APPLICANT: Shanghai Sunway Biotech Co., LTD.  
 5 <120> TITLE OF INVENTION: Treatment for Metastatic Cancer  
 7 <130> FILE REFERENCE: 121300  
 C--> 9 <140> CURRENT APPLICATION NUMBER: US/10/766,307  
 C--> 9 <141> CURRENT FILING DATE: 2004-01-28  
 9 <160> NUMBER OF SEQ ID NOS: 8  
 11 <170> SOFTWARE: PatentIn version 3.1

Does Not Comply  
 Corrected Diskette Needed

## ERRORED SEQUENCES

4492 <210> SEQ ID NO: 8  
 4493 <211> LENGTH: 23  
 4494 <212> TYPE: DNA  
 4495 <213> ORGANISM: Primer  
 4497 <400> SEQUENCE: 8  
 4498 cagatggggtt tggtcattta tcc

E--> 4508 3519863v1 Page 1 of 60

*invalid response - see item 10 on Error Summary Sheet*  
*Please correct this type of error in all sequences*

23

*delete*

**VERIFICATION SUMMARY**

DATE: 02/06/2004

PATENT APPLICATION: US/10/766,307

TIME: 16:17:10

Input Set : D:\SeqID.ST25a.txt

Output Set: N:\CRF4\02062004\J766307.raw

L:9 M:270 C: Current Application Number differs, Replaced Current Application No

L:9 M:271 C: Current Filing Date differs, Replaced Current Filing Date

L:4508 M:254 E: No. of Bases conflict, LENGTH:Input:60 Counted:30 SEQ:8

L:4508 M:320 E: (1) Wrong Nucleic Acid Designator, NUMBER OF INVALID KEYS:13

L:4508 M:252 E: No. of Seq. differs, &lt;211&gt; LENGTH:Input:23 Found:30 SEQ:8